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Date: 28/11/2021

Agreement No.: (86/2021)

Grant Agreement –CA



The

The Royal Scientific Society (RSS)
70 Ahmad Al Tarawneh Street
Al Jubaiha
PO Box 14 38
11941 Amman
Hashemite Kingdom of Jordan

- hereinafter referred to as the 'recipient'

and

**Deutsche Gesellschaft für
Internationale Zusammenarbeit (GIZ) GmbH**
Dag-Hammarskjöld-Weg 1 - 5
65760 Eschborn
Federal Republic of Germany

- hereinafter referred to as 'GIZ' -

herewith enter into the following grant agreement (hereinafter referred to as the 'Agreement') for the GIZ project

**Sector Programme Water Policy - Innovations for Resilience
(WaPo-RE)**

Country: **Global**

Communication details (must be quoted in all correspondence)

Agreement number: 81277007
Project processing number: 20.2147.5-017.00

Unit responsible for the budget

Organisational unit: G310
Responsible officer: Maria Ana Otilia Rodriguez Gomez Cornejo

Procurement and Contracting

Organisational unit: E2B0
Responsible officer: Naomi Vilenskaya

Financial management of the contract

Organisational unit: 5730
Responsible officer: Tanja Entzeroth

Deutsche Gesellschaft für
Internationale Zusammenarbeit (GIZ) GmbH

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Martin Jäger

Management Board
Tanja Gönner (Chair)
Ingrid-Gabriela Hoven
Thorsten Schäfer-Gümbel

Commerzbank AG Frankfurt am Main
BIC (SWIFT): COBADEFFXXX
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The basis for the grant provided to **Royal Scientific Society (RSS)** is the commission from the **Federal Ministry for Economic Cooperation and Development (hereinafter referred to as BMZ)** dated **04.11.2020**. GIZ shall provide the grant pursuant to this Agreement exclusively on behalf of and for the account of BMZ.

The commission of BMZ is co-financed by the European Commission under a Contribution Agreement between the EU and GIZ dated 03.07.2020.

With respect to the co-financing of the European Commission (hereinafter referred to EU), GIZ is obliged to include certain clauses of the above mentioned Contribution Agreement into this present Agreement.

Article 1

Amount and purpose of the grant

1.1 As commissioned by the BMZ and cofinanced by the EU, GIZ shall make available to the recipient a grant of

up to EUR 198,978.26

in words: One hundred ninety-eight thousand, nine hundred seventy-eight Euros and twenty-six Cents

1.2 The recipient shall use the grant exclusively for actual costs incurred within the scope of the Project described in Article 2 as attributed to the grant according to the budget lines below and the estimated budget, which sets out details of the Project costs (hereinafter referred to as the 'Estimated Budget'). The Estimated Budget is attached hereto (**Annex 1**) and forms an integral part of the Agreement.

1.	Staff	up to	EUR	109,553.10
2.	External experts / Consultants	up to	EUR	11,400.00
3.	Transportation	up to	EUR	45,250.00
4.	Training costs	up to	EUR	23,300.00
5.	Administration costs (5.00%)	up to	EUR	9,475.16

- 1.3 The grant is made available for the period from **01.11.2021** to **31.03.2023**. Only actual costs incurred during this period and related to activities carried out during this period may be financed from the grant.

GIZ shall have the right to refuse disbursements after 31.05.2023.

- 1.4 The recipient shall use the grant only for necessary and reasonable actual costs incurred in accordance with sound business principles.
- 1.5 The recipient shall be entitled to spend up to 20% more than the budget line amount specified in Article 1.2 for any budget line (except for administration costs and forwarding of funds if these items are contractually agreed) provided that the actual costs are reduced by the same amount in one or more of the other budget lines (except for administration costs and forwarding of funds if these items are contractually agreed) to be financed from the grant. The recipient shall inform GIZ about the relevant budget line changes for cross-checking when submitting the financial statements.
- 1.6 The grant may be used only for actual costs not already financed under other subsidies or long-term loans.
- 1.7 The Project is cofinanced by the following parties (the recipient and/or third parties) through grants / in-kind contributions / loans up to the amount of:

1.	RSS	up to EUR	13,353.53	equals	6.29 %
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The respective allocation of the individual contributions made by each cofinancing party to the estimated Project expenditures is shown in the Estimated Budget (**Annex 1**).

GIZ's grant is made available subject to the condition that all of the aforementioned cofinancing parties provide their contributions.

If the actual costs for the Project to be financed by the various parties amount to less than the estimated costs, GIZ is entitled to reduce its grant in the same proportion as the actual costs are reduced in relation to the estimated costs.

Article 2

The Project

- 2.1** The primary objective of the Project “Empowering Municipal Governance For Climate Resilience Using the WEF Nexus approach” (hereinafter referred to as the ‘Project’) financed by the grant is to improve people’s lives by helping municipal governments to secure funding and develop the skills they need to deliver high-quality public services, in particular with regard to natural resources management. This overall objective is defined by the following benchmarks:
- Municipal Action Plans aimed at climate resilience and integrated natural resources management (via cross-sectoral working groups) are developed.
 - Results and lessons-learned from cross-sectoral planning and project development in the context of climate change are disseminated to the national and regional level.
 - Funding is secured for integrated projects targeted at climate resilience facilitated through an increased dialogue between financiers and public and private project developers, capacity development and targeted advisory support.
- 2.2** In order to achieve this objective, the following measures are planned to be undertaken by the recipient:
- Component 1:
- Identify, collect data and develop baseline for energy, water, food/agriculture, transportation & GHG emissions at the national and municipal level and consult stakeholders for validation
 - Conduct WEF Nexus training (how to integrate cross-sectoral planning into Action Plan development and other relevant processes & identification of NIA tools that can be introduced)
 - Develop SECAP technical plan through a cross-sectoral working group and using the spatial planning/landscape approach, including an implementation and monitoring and evaluation plan
 - Conduct consultation workshops in each municipality in JO, TU, and EG to validate and update the final SECAP Report
 - Register for Covenant of Mayors (COM) membership

Component 2:

- Support multi-stakeholder policy dialogue and knowledge transfer through networking events between municipalities in a country, between municipalities and associated ministries at national level as well as at regional events through the League of Arab States
- Develop municipal-led Communication Action Plans (5-municipalities)
- Conduct WEF Nexus training at national level (as part of the policy dialogue) (1 advanced training/each municipality)

Component 3:

- Conduct a capacity needs assessment of municipalities with regards to investment planning and project development; Conduct the affiliated capacity development event
- Provide targeted advisory support to the beneficiary group (municipalities) for the development of the SECAP plan (providing advise and technical assistance on the financial feasibility of the projects; identification of adequate business models; identification of measures to create an enabling environment for public sector engagement) and support the beneficiary group to develop at least 1 project proposal for a WEF Nexus project (deriving from an action in the SECAP) per municipality to present to a financier
- Facilitate dialogue sessions between investors/financiers (local and international) and beneficiary group (as well as other necessary stakeholders from the private and public sector, civil society organization etc) and propose policy actions to accelerate funding/financing of (integrated) projects to strengthen the climate resilience of WEF Nexus projects at a decentralized level

Details are laid down in the project proposal dated 31.08.2021, which is attached hereto (**Annex 2**) and forms an integral part of the Agreement (hereinafter referred to as 'Project Proposal').

Article 3

Financial management of the grant and disbursement procedure

- 3.1 The recipient shall maintain and consistently apply an adequate financial management system that includes separate informational statements and project records for the grant prepared in accordance with internationally recognised accounting standards. The recipient shall always comply with the requirements set out in the Financial Guidelines for Grant Agreements attached as **Annex 3**.
- 3.2 After entering into the contract, but not later than with the first request for pre-financing instalments, the recipient **shall submit a schedule of financial requirements** drawn up in the contract currency (see specimen in Annex 3) **with quarterly amounts for the entire term of the Agreement**, unless this has already been submitted when the Agreement was concluded. In accordance with the schedule of financial requirements, the recipient shall request **the first** pre-financing instalment for **six months, i.e. for two periods**, and then **the following** pre-financing instalments **quarterly for one period** in EUR in the amount of the expected financial requirement for the following quarter, deducting any funds still available from the penultimate quarter.
- The request for each pre-financing instalment shall be submitted in one copy originally signed and must include the Project processing number and the Agreement number (see template in Annex 3).
- 3.2 All funds made available under this Agreement must be accounted for separately from any funds provided by other donors.

The recipient shall open a separate sub-account with its bank or a separate cost unit in its own accounts to settle the financial transactions involved in this Agreement and shall confirm to GIZ that this has been done when submitting its first request for pre-financing instalments.

GIZ shall issue all payments to the recipient's account.

The recipient shall submit confirmation from the bank verifying the account number at the latest together with the first request for the pre-financing instalment and every time a different account number is used.

All amounts paid by GIZ shall remain in the aforementioned account as trust funds until they are passed on to the final recipients or used to pay for the supplies and/or services to be financed from the grant.

- 3.3** The amounts paid into the aforementioned account by GIZ shall be subject to interest to the extent permitted by the applicable legal provisions.

GIZ may at any time request that the Recipient promptly submits a copy of the interest agreement and/or interest calculation by the bank, or a confirmation from the bank that interest cannot be paid on the credit balance in the account.

Any income, interest or gains earned on the grant received by the recipient under this Agreement ('Investment Income') as well as any funds reflowed to the recipient which were originally distributed from the grant including, but not limited to, reimbursement or repayment, payment of principal, interest, dividends and fees, as appropriate, but excluding any unused or undisbursed amounts with respect to the Project ('Reflowed Funds') shall be reinvested in the Project by the recipient and shall be indicated as such when submitting the financial statements by allocating these amounts to the respective budget line where they have been reinvested.

GIZ reserves the right to deduct any Investment Income and Reflowed Funds from the pledged amount of the grant.

- 3.4** In the recipient's accounting records for the Project, all vouchers, expenditures, actual costs and any Investment Income and Reflowed Funds related to the Project and the Estimated Budget to be financed from the grant shall be entered in chronological order and according to the regulations for proper and orderly accounting. These supporting documents shall be subdivided into the categories specified in the Estimated Budget and shall contain at least the following items:

- a) Entry number
- b) Date
- c) Recipient/Origin of voucher
- d) Amount
- e) Reason

- 3.5** Except for the first and second pre-financed period, the recipient shall submit with each request for pre-financing instalments a financial statement originally signed (see template in **Annex 3**) for the pre-financing instalment of the penultimate period, providing evidence of the use of the funds, as well as confirmation of the cofinancing according to Article 1.7, and an inventory of the goods purchased according to Article 6.4 for the penultimate period. Interim financial statements must be submitted no later than two months after the end of the respective pre-financed instalment period, even if no further pre-financing instalment is requested.

The financial statement must be drawn up in EUR. The actual costs shall be entered chronologically and broken down according to the cost categories as specified in the Estimated Budget (**Annex 1**).

If the budget lines presented in Article 1.2 contain administration costs, these must be settled separately as a lump-sum percentage. All other budget lines shown under Article 1.2 will be settled against evidence.

Any remaining funds held by the recipient based on the financial statement will be offset against the next disbursement.

The recipient's request shall become due upon expiry of a verification period of 15 days after the financial statement has been received. Payment shall be made by GIZ no later than 30 days after the request becomes due, in the amount established and, where applicable, corrected, by GIZ.

- 3.6** If the recipient does not submit a financial statement or an inventory or a narrative progress report in the correct manner (according to Article 3.1, Article 3.5, Article 4 and Article 6) or by the contractually agreed due, GIZ is entitled to suspend payments until a correct financial statement, narrative progress report or inventory is presented.
- 3.7** The recipient shall submit proof from the bank regarding the amount that has been credited in the currency of the account for the amounts disbursed by GIZ with the next financial statement. The expenditures in the currency of the account shall be converted to EUR at the

exchange rate based on the amount credited to the account and the transferred EUR disbursement.

The recipient shall use the real exchange rate and shall submit proof from the bank for each amount that has been credited to its account in the currency of the account and/or in the local currency for each grant amount disbursed by GIZ.

In absence of such proof and after GIZ has given their consent, the recipient may convert the local currency based on the EU currency converter InforEuro or alternatively use its own certified exchange system, provided that the latter complies with international standards comparable to InforEuro.

- 3.8** Upon completion of the Project, but no later than two months after the completion of all measures, or upon expiration or termination of this Agreement, the recipient shall submit to GIZ, in addition to the final narrative report according to Article 4.6 hereof, a final financial statement originally signed (see template in **Annex 3**) and provide GIZ with evidence of how the amounts disbursed have been used. The final financial statement must be drawn up in EUR. The actual costs shall be entered chronologically and broken down according to the budget lines as specified in the Estimated Budget (**Annex 1**).

If the budget lines presented in Article 1.2 contain administration costs, these must be settled separately as a lump-sum percentage. All other budget lines shown under Article 1.2 will be settled against evidence.

Any remaining funds still held at the end of the Project or upon expiration or termination of this Agreement, including any remaining Investment Income, Reflowed Funds and unused funds, shall be returned to GIZ directly by the recipient, immediately and without a request to do so, in EUR at the exchange rate based on the latest pre-financing instalment credited to the account. This shall not affect the right of GIZ to request a repayment according to the contractual terms.

To minimise the risk of repayment, the recipient shall monitor the effective balance of funds before the final pre-financing instalment is requested.

3.9 Any interim and final financial statements shall be accompanied by copies of supporting documents in accordance with the Financial Guidelines (**Annex 3**) for every single cost item exceeding the amount of **EUR 1,000.00**.

The recipient shall submit all documents either in German, English, French or Spanish, or shall attach a translation into one of these languages.

3.10 The final financial statement must be accompanied by a declaration specifying the extent to which the contributions to be provided by the aforementioned other cofinancing parties (the recipient and/or third parties) have been paid.

3.11 The amount of **EUR 19,900.00** shall be retained from the grant as security.

The final payment shall be disbursed up to the retained amount together with the final request for disbursement as soon as all obligations by the recipient arising from the Agreement, in particular those regarding the provision of evidence on the use of funds for the purpose stipulated, and the reporting requirements have been met. GIZ reserves the right to retain 20% of the security retention amount until the external audit report has been handed over to GIZ.

3.12 GIZ will commission a certified public accountant to audit the Project. This audit will examine whether the measures have been implemented and administered properly and correctly in accordance with the relevant terms of this Agreement, in particular with respect of cash and invoice management, the use of grant funds and the award of contracts for supplies and services.

The periods to be covered by the audit are as follows:

1. Audit period: 01.11.2021 up to 31.03.2023

The recipient must permit GIZ and/or the representatives of the EU and/or the certified public accountant commissioned by GIZ to inspect the accounts and any other documents related to the purpose of this Agreement at any time and to visit all installations related thereto. This includes, where appropriate, on-the-spot checks and inspections.

GIZ and/or the certified public accountant commissioned by GIZ shall inform the recipient in writing about the upcoming inspection.

Upon completion of the audit report, GIZ shall inform the recipient of the main findings. The recipient shall promptly implement the recommendations made by GIZ on the basis of the audit findings and provide evidence thereof if requested by GIZ.

Article 4

Implementation of the Project and reporting

- 4.1 The description of the measures in Article 2.2, including the Project Proposal (**Annex 2**), constitutes the binding framework for the implementation of the Project.
- 4.2 The recipient shall
- a) carry out the Project with due diligence, efficiency and in accordance with sound administrative, technical, financial, business and development practices and in accordance with this Agreement;
 - b) secure full financing for the Project and, upon request, furnish GIZ with evidence demonstrating that all actual costs not financed from this grant are covered;
 - c) obtain, or contractually cause any other entity involved in the Project to obtain, at the relevant time, all necessary licenses, approvals and consents to implement, (if appropriate) carry out or operate any measure, all of which are to be maintained in full force and effect at all relevant times; and
 - d) seek remedies under the relevant contract with each other entity for breach, non-performance or default by such entity;
 - e) claim repayment by each other entity of any funds used by any of them to any illegal or improper purposes (including bribery) contrary to this Agreement or any laws of the country in which the Project is implemented;
 - f) pay, upon request and starting on the date of receipt, interest at a rate of 5 percentage points above the respective rate applied by the European Central Bank to its main refinancing transactions p.a. for any amount not spent in a timely manner in accordance with the schedule of financial requirements for the Project;
 - g) keep for ten years after submission of the final financial statement all books, records and the originals of the supporting documents, clearly identifying all actual costs for the

services and supplies for the Project and those services and supplies as well as sub-grants financed from the grant, or up to the date of the limitation period of any claim pursuant to the applicable law governing the agreement and any procurement contracts or sub-grants concluded, if the latter lasts longer;

- h) enable GIZ or any third parties authorised by GIZ at any time to review the books and any other records and documents relevant for the implementation of the Project and to visit all facilities related thereto.

- 4.3** All press releases or public statements intended to be made by the recipient regarding this Agreement and the Project must be approved by GIZ in writing prior to release or disclosure. However, the recipient shall not be required to obtain prior written consent from GIZ for short references posted about the Project financed by GIZ on the recipient's website. For all publications on the Project, the recipient shall always indicate in an appropriate manner that it is conducting or has conducted its activities within the framework of a Project financed by GIZ as commissioned by the Government of the Federal Republic of Germany and with funding provided by the European Union.

The recipient will assure that all publication, communication and/or visibility activities are in accordance with the commission of the Government of the Federal Republic of Germany cofinanced by the EU.

Publication, communication and/or visibility activities will ensure wide and timely information and publicity.

The recipient will report to the GIZ immediately and endeavour to remedy any detected shortcomings in implementing the publishing, communication and visibility requirements, which are set out in the "Communication and Visibility Guideline" which is attached to this present agreement as Annex 5, and of the latest version of the "Communication and Visibility Manual for European Humanitarian Aid" which is accessible under and of the latest version of the "Communication and Visibility Requirements for EU External Action" which is accessible under https://ec.europa.eu/international-partnerships/comm-visibility-requirements_en. The "Communication and Visibility Guideline" may be amended during the course of the implementation of the Project. GIZ shall inform the recipient immediately of any such amendments.

- 4.4 The recipient shall inform GIZ in writing of any circumstances that may seriously affect or jeopardise the attainment of the purpose of this Agreement, substantially interfere with the performance of the recipient's material obligations under this Agreement, or with the recipient's management or implementation of the Project, and shall promptly provide detailed information of this upon becoming aware of such circumstances.

For the avoidance of doubt, this obligation to provide information shall also apply in cases including but not limited to: substantial changes in the risk assessment of the Project; the occurrence of unintended adverse impacts on human rights, the environment and climate, contexts characterised by fragility and violence, and gender equality; other significant time, financial, technical or development policy changes in the course of the Agreement; and risks to the safety or health of assigned staff.

Major changes to the measures described in Article 2.2, including the Project Proposal, or major changes to the estimated costs may be applied only on the basis of revised planning and after obtaining written prior consent from GIZ. 'Major Change' means any substantial change of the scope, structure, design or objectives of the Project that substantially alters the purpose or benefit of the Project.

- 4.5 The recipient shall submit narrative **progress reports** every six months. The reports shall be submitted to GIZ no later than two months after the end of the respective reporting period.
- 4.6 The recipient shall draw up a narrative **final report** describing the implementation and results of the Project in light of the objectives set and submit this report to GIZ no later than two months after completion of all measures, at the latest two months after the end of the period referred to in Article 1.3.
- 4.7 Every interim report and the final report shall provide an accurate account of implementation of the Action, difficulties encountered, changes introduced, as well as the degree of achievement of its Results as measured by the indicators listed in the log frame. The Final Report as well as the Progress reports will contain chapters with narrative and financial reports.

Article 5

Sub-grants to final recipients

- 5.1 In cases where goods, construction works or services are purchased using grant funds, Article 6 shall apply.
- 5.2 If, in accordance with the Project Proposal and Estimated Budget (**Annexes 1 and 2**), the recipient is entitled to forward parts of the grant as sub-grants to third parties ('final recipients'), the recipient ensures that it does not violate EU state aid law by forwarding the funds.

When forwarding funds, the recipient shall also ensure that the relevant terms and conditions of this Agreement are substantially applied to the contractual relationship between the recipient and the final recipients, in particular but not limited to the stipulations related to the use of the grant funds and to the disbursement and reporting procedures. The recipient shall also ensure that the final recipients are not entitled to pass on the funds received or any part thereof to other recipients by including this condition in the contracts with the final recipients.

- 5.3 Prior to any forwarding of funds, however, the recipient shall perform appropriate due diligence processes to assess the integrity and capacity of each final recipient, while taking into account the non-profit objective of the Project, and select each final recipient based on a positive assessment of that final recipient's capacity to carry out the measure that is being assigned to it. The recipient shall document the assessments in a transparent manner and make such assessments available to GIZ upon request.

If the final recipient has not yet been specified when the Agreement is signed, the recipient must submit a written list of potential final recipients, including their estimated budgets, to GIZ and obtain GIZ's written consent by way of a supplement to this Agreement before forwarding any funds to the final recipient.

- 5.4 The recipient must provide evidence of the use of the funds granted under this Agreement by submitting appropriate financial reports and supporting documents. In this respect, the recipient is obliged to check the contractual and financial evidence presented by the final recipient and to forward this evidence to GIZ together with the findings of its own checks to

verify the evidence when settling the amounts provided by GIZ under the terms of the Agreement. Pre-instalments to third parties shall be included separately in the financial statements. Proof of costs related to these instalments must be requested by the recipient from the final recipient, checked and documented in accordance with Article 3.1 in the financial statements submitted by the recipient to GIZ.

Article 6

Purchasing of goods, construction works and services

- 6.1** When awarding contracts for supplies, construction works or services to be financed in full or in part from the grant, the recipient shall observe, insofar as applicable, the regulations on public procurement which apply in the Hashemite Kingdom of Jordan, and shall in any case comply with the provisions in **Annex 4a** (Award Procedure / Procurement Guidelines), which are an integral part of this Agreement. The contract award procedure, including but not limited to all decisions in this context, shall be documented in accordance with the template provided as **Annex 4b** (Documentation of Contract Awards). The documentation shall be stored with the accounting vouchers and documents pursuant to Article 3. At the request of GIZ, the recipient shall furnish evidence of the proper implementation of the contract award procedures.
- 6.2** If the recipient has violated any of the provisions set out in Article 6.1, including the requirements stipulated in **Annexes 4a and 4b**, GIZ shall be entitled to deduct the respective amount of any additional costs incurred due to the violation, but at least 20 % of the respective purchasing volume from the grant for each violation. The right to suspend or terminate the disbursements, to terminate the Agreement, or to reclaim repayment in accordance with Article 13 of this Agreement remains unaffected.

- 6.3** Upon entering into contracts for supplies, construction works or services to be financed according to the terms of this Agreement, the recipient shall ensure that
- a) the goods, construction works or services procured do not violate or infringe any industrial property or intellectual property right or claim of any third party ('Third Party IP Rights');
 - b) all contracts for the procurement of goods, construction works or services contain appropriate representations, warranties and indemnities from the contractor or supplier to the recipient with respect to Third Party IP Rights;
 - c) all goods, construction works or services procured under this Agreement are used exclusively for the purposes of the Project, and all facilities relevant to the Project are at all times properly operated and maintained (taking into account reasonable wear and tear);
 - d) competent and qualified consultants and contractors are employed/contracted to achieve the objectives of the Project; procurement should comply with the relevant sustainability standards;
 - e) the terms of payment of these contracts conform to customary trade practices; import duties, which may not be financed under the Agreement, are to be indicated separately in the contracts to be entered into and in the invoices;
 - f) adequate provision is made for the insurance of any goods required for the Project to protect against risks in accordance with prudent industry practice and that any compensation from the insurance is payable in a freely usable currency to replace or repair such goods;
 - g) the supplies to be financed are insured against transportation risks to an adequate and customary extent in order to provide for the placement of goods or restoration to their original state; imported goods are to be insured in freely convertible currencies, as far as possible;
 - h) reimbursement, insurance, security, warranty or similar payments which may be claimed on the basis of those contracts, are to be transferred to the account indicated in Article 3.3 and reassigned for Project use. The recipient shall notify GIZ of the amount of payments received within the scope of the Project reports.

- 6.4** The recipient is obliged to submit up-to-date inventories with each financial statement. All items financed from the grant whose purchase or manufacturing costs exceed **EUR 800.00** (net) must be inventoried.

Where the respective inventory submitted includes inventoried items that are listed for the first time, ownership of the inventoried items is transferred from the recipient to GIZ to secure any claims of GIZ against the recipient arising from or in connection with this Agreement (incl. possible reversal claims), and at the same time the items shall be provided on loan to the recipient by GIZ until completion of the Project.

- 6.5** If and insofar as the recipient uses any part of the funds to purchase items for the purpose of implementing measures for the Project (such as vehicles or office equipment; referred to as 'inventoried items' in Article 6.4 of this Agreement), upon completion of the measures or termination of this Agreement, GIZ shall be entitled to determine and decide at its sole discretion to take any steps with regards to these items that are in the best interest of the continued operation of the Project.

The transfer of ownership shall be noted on the related certificate of assignment which shall be submitted with the final financial statement.

Article 7

Intellectual property rights

- 7.1** With respect to any intellectual property generated by the recipient in the course of or in connection with the implementation of the Project, including in particular, but without limitation to the reports produced pursuant to Article 3 and 4 and all studies, documents or other work results, including computer programs, resulting from the Project implementation and financed in whole or in part from the grant ('Intellectual Property'), the recipient hereby grants GIZ an irrevocable, non-exclusive, world-wide, royalty-free and transferable right to use for non-commercial purposes all such Intellectual Property in all areas and fields, including their processing and translation and use in electronic media. The recipient shall hand over one copy of the materials to GIZ promptly on request by GIZ. GIZ is entitled to grant simple sub-user rights to third parties.

- 7.2 The recipient guarantees that the material provided in the course of implementing the Project is not subject to any copyright or other third-party rights that would prejudice the use of the Intellectual Property to the extent described above. The recipient shall indemnify and hold GIZ harmless against any third-party claims that may arise from granting the rights pursuant to Article 7.1 and shall reimburse GIZ for any reasonable costs incurred in connection with the legal defence to such claims.

Article 8

Data protection

- 8.1 GIZ shall process personal data in connection with this Grant Agreement exclusively in accordance with the General Data Protection Regulation of the European Union (GDPR). Such data shall be processed solely for the purpose of implementing, managing and monitoring this Grant Agreement or for the protection of the EU's financial interests, including controls, audits and investigations. To the extent permitted by law the recipient has the right to view, erase or correct its personal data and can contact GIZ (datenschutzbeauftragter@giz.de) or the government bodies responsible for such matters in order to assert its rights.
- 8.2 The recipient shall comply with the applicable data protection regulations of the EU and of national legislation (including approval and reporting obligations) when processing personal data in connection with this Grant Agreement. The recipient shall provide its employees access to the data only to the extent absolutely necessary for implementing, managing or monitoring the Grant Agreement and shall require its employees to maintain data confidentiality. Personal data shall be treated confidentially by the recipient in all circumstances. The recipient shall implement technical and organizational security precautions appropriate to the risks arising from data processing and to the type of personal data concerned. The recipient shall inform its employees that their personal data will be collected and processed by GIZ.

Article 9

Compliance with legal regulations as well as environmental and social standards

9.1 When using the funds provided, the recipient shall respect the local conditions in the relevant country, as well as the customary trading practices and legal provisions, ordinances and official regulations. When using the funds provided, the recipient shall also comply with all applicable tax regulations and shall take into account the associated general, special and social impacts. Furthermore, the recipient shall not support activities that contribute to tax avoidance, tax fraud or tax evasion.

9.2 The recipient is obliged to use the funds in compliance with international standards and multilateral agreements, in particular international human rights conventions, the International Labour Organisation (ILO) declaration of 1998 and to ensure the protection of children; the prevention of violence, abuse and exploitation of any kind; non-discrimination, especially with regard to origin, ethnicity, religion, age, gender identity, sexual orientation or disability; as well as the promotion of equal opportunities for all genders when using the funds.

The recipient shall also use the grant in compliance with applicable national and international environmental law, minimise emissions of greenhouse gases and avoid any action that could increase the vulnerability of the population and/or ecosystems.

The recipient is obliged to use the grant in a way that avoids or reduces unintended adverse impacts on the environment, climate protection, adaptation to climate change, human rights, contexts characterised by fragility and violence, and gender equality by implementing attributable mitigation measures. It also undertakes to harness potential for promoting gender equality.

9.3 The recipient shall take appropriate measures to prevent sexual harassment in a professional context and shall refrain from inciting violence or hatred as well as objectively unjustified discrimination against an individual or group of individuals.

Article 10

Conflicts of interest

- 10.1 The recipient shall at all times act in an impartial and loyal manner. A conflict of interest may arise in particular from economic interests, political affinities or national ties, family or friendships or other ties or interests.
- 10.2 During the term of the Agreement the recipient shall not conclude any contract where a conflict of interest is to be anticipated due to the nature of the contract or due to the recipient's personal or financial connections with a third party unless prior consent has been given by GIZ in writing.
- 10.3 In the event that a conflict of interest or a potential conflict of interest arises in the course of this Agreement, the recipient must disclose such event to GIZ without undue delay and consult and reach agreement with GIZ on any further steps to be taken.

Article 11

AntiMoney Laundering (AML), countering the Financing of Terrorism (CFT), anti-bribery and compliance with embargoes

- 11.1 The recipient shall not support activities that contribute to money laundering, terrorism financing or corruption.
- 11.2 The recipient shall not make available, either directly or indirectly, any funds or other economic resources from the grant to third parties that are on a sanctions list issued by the United Nations and/or the EU. In connection with the Project as described in Article 2, the recipient may only enter into contractual or business relations and/or maintain such relations with third parties that are reliable and to whom no statutory ban on doing business or entering into contracts applies. Furthermore, the recipient shall comply with any embargoes or any other trade restrictions issued by the United Nations, the EU and/or the Federal Republic of Germany in connection with the implementation of the Project.

- 11.3** The recipient shall inform GIZ of its own accord of the occurrence of any event that results in the recipient, any member of its personnel or its management bodies or other governing bodies or any of its shareholders or contractual partners appearing on a sanctions list issued by the United Nations Security Council, the EU or the Federal Republic of Germany. This also applies if the recipient becomes aware that it or one of the aforementioned individuals appears on any such list.
- 11.4** All forms of corruption are prohibited. In connection with this Agreement, the recipient may not offer or give any gifts or advantages either directly or through third parties and may not accept or demand such gifts or advantages for itself or for others. Moreover, the recipient is obliged to undertake suitable and appropriate measures to prevent and fight corruption.
- 11.5** The recipient shall require all parties involved by the recipient in implementing the Project to undertake to comply with all the provisions mentioned in this Article 11 during the development of the Project and in connection with the implementation of the Project.
- 11.6** The recipient shall inform GIZ immediately of the occurrence of any breach of a provision of this Article 11. This shall not affect GIZ's rights pursuant to Article 13.

Article 12

Force majeure

- 12.1** 'Force majeure' is an unavoidable event (e.g. natural disaster, outbreak of diseases and epidemics, serious un-rest, war or terrorism) which is unforeseeable by human judgement and experience, cannot be avoided or rendered harmless by reasonable economic means and under application of the utmost care, and which prevents one of the Parties' from fulfilling any of their obligations under this Agreement. If an event originates from the sphere of responsibility of one of the Parties', this shall not constitute an event of force majeure.
- 12.2** Neither Party to this Agreement shall be held liable for a breach of its obligations under this Agreement if it is prevented from fulfilling such obligations by reason of Force Majeure, provided that the Party affected by such an event has taken all reasonable precautions, due

care and sought out reasonable alternative measures with the objective of carrying out the terms and conditions of this Agreement as applicable.

- 12.3** A Party affected by an event of Force Majeure shall notify the other Party of this as soon as possible and no later than fifteen (15) days upon becoming aware of the implications of the occurrence of the event on the Project measure, providing evidence of the nature and cause of the event, which shall include information about the possibility of returning to normal conditions as soon as possible.

Article 13

Event of default, suspension and termination, repayment

- 13.1** GIZ shall be entitled to either suspend any or all disbursements if an event of default occurs relating to this Agreement. An event of default shall exist if

- a) the recipient is not able to furnish evidence proving the use of the grant for the purpose stipulated in this Agreement;
- b) goods purchased by the recipient for the Project and financed from the grant are not used or cease to be used for the purpose of the Agreement;
- c) the recipient has violated any of the provisions set out in Article 6 of this Agreement or any other material obligation under this Agreement;
- d) before conclusion of the Agreement or during its execution, the recipient has given false information or withheld relevant information affecting the allocation or disbursement of the grant;
- e) any extraordinary circumstances have arisen which preclude or seriously jeopardise the purpose of the grant, the implementation of the Project, or the performance of the obligations assumed by the recipient under this Agreement; or
- f) the Government of the Federal Republic of Germany or the EU terminates, suspends or modifies the relevant contract with GIZ which forms the basis for this Agreement.

- 13.2** GIZ shall be entitled to terminate this Agreement in part or in full with immediate effect if any of the circumstances referred to in Article 13.1 a) to f) arise. In case any of the circumstances referred to in Article 13.1 a) to d) arise, GIZ shall be entitled to terminate the Agreement with

immediate effect if these circumstances have not been remedied within a period to be stipulated by GIZ, which shall, however, not be less than 30 days.

- 13.3** Upon termination of this Agreement, the recipient shall return to GIZ promptly at GIZ's first request any unused portion of the grant. This includes any unused or reflowed funds including any accrued income, interest or gains associated therewith, for which no further disbursements, liabilities or costs are due to be made or paid by the recipient. In respect of any part of the grant already disbursed or irrevocably committed by the recipient in good faith to a third party prior to the notice of termination the repayment will be limited to the amount which has actually been recovered by the recipient from such third party having exercised all best efforts. The recipient shall promptly cancel any existing commitments to a third party (e.g. employment contracts, lease contracts, loans). Where Article 13.1 a) applies, in addition to any unused portion of the grant, the recipient shall repay amounts for which it is unable to furnish evidence of the use for the purpose stipulated in this Agreement. Where Article 13.1 b) applies, in addition to any unused portion of the grant, the amounts related to the goods concerned shall be repaid. The recipient shall pay interest in a timely manner after GIZ's first request at a rate of 5 percentage points above the respective rate applied by the European Central Bank to its main refinancing transactions p.a. on the repayment amount with respect to Article 13.1 a) to d).

Article 14

Final provisions

- 14.1** The Annexes to this Agreement constitute integral parts of this Agreement.
- 14.2** If a provision of this Agreement is invalid, this shall not affect the validity of the remaining provisions. Any resulting deficiency shall be remedied by a provision consistent with the purpose and intent of this Agreement.
- 14.3** The recipient shall not assign or transfer, pledge or mortgage any rights under this Agreement.
- 14.4** This Agreement shall be governed by German law.

- 14.5 Place of performance for payments shall be Frankfurt am Main.
- 14.6 Place of jurisdiction shall be Frankfurt am Main, provided the recipient is a merchant/trader, a legal entity under public law or a special fund under public law, or has no general place of jurisdiction in Germany. GIZ may also take legal action against the recipient at the court responsible for the recipient's place of residence.
- 14.7 In the event that provisions of this Agreement do not support the efficient achievement of the objective defined in Articles 1 and 2 above, GIZ and the recipient may adjust or amend these provisions by mutual consent at any stage during the implementation of the Project. Amendments and changes to this Agreement must be made in writing to be valid.

Eschborn, Date: 15.11.2021

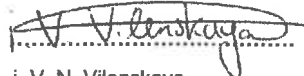
Amman, Date:

Deutsche Gesellschaft für
Internationale Zusammenarbeit
(GIZ) GmbH

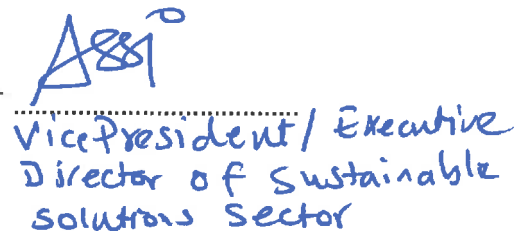
Royal Scientific Society (RSS)



i. V. S. Herr
Contract Manager, E2C0



i. V. N. Vilenskaya
Contract Manager, E2B0



Annexes:

(Annexes 3 – 4 can be downloaded from www.giz.de/financing)

Annex 1: Estimated Budget of 31.08.2021

Annex 2: Project Proposal of 31.08.2021

Annex 3: Financial Guidelines for Grant Agreements (06/2020)

Annex 4a: Award Procedure / Procurement Guidelines (06/2020)

4b: Documentation of Contract Awards (06/2020)

Annex 5: Communication and Visibility Plan (Annex VI of the Pillar Assessed Grant or Delegation Agreement / Contribution Agreement between EU Commission and GIZ)

Estimated Budget - Annex 1

81277007
Date: 09.11.2021

Name of the Recipient: RSS

Description	Quantity (up to)	Unit (e.g. person, vehicle, room, unit, ...)	Quantity	Unit (e.g. months, days, trainings, unit, ...)	Eligible up to in EUR	Total GIZ Contribution in EUR (up to)	Own Funds / Third party financing in EUR (up to)	TOTAL in EUR (up to)
1. STAFF (JOB TITLE)								
<i>(Note: This budget line will be settled against pay slips and, if partially financed, against pay slips and time sheets.)</i>								
Project Manager	95%	Person	17	Months	2.700,00 €	109.553,10 €		109.553,10 €
Technical Advisor	87%	Person	17	Months	500,00 €	43.605,00 €		43.605,00 €
Technical Staff: Energy Specialist x6	51%	Person	17	Months	6.600,00 €	8.726,10 €		8.726,10 €
2. External Experts / Consultant (Job Title)								
Finance Expert	1	Expert	1	contract	11.400,00 €	11.400,00 €		11.400,00 €
3. Transportation / Travel Costs								
International Travel / Egypt								
Flights (10 meetings / trip)	23	Trip	1	Trip	300,00 €	18.900,00 €		18.900,00 €
Pendiums (8 meetings / night)	17	Person	2	Nights	200,00 €	6.800,00 €		6.800,00 €
Pendiums (1 meeting / night)	4	Person	5	Night	200,00 €	4.000,00 €		4.000,00 €
Pendiums (1 meeting / night)	2	Person	3	Night	200,00 €	1.200,00 €		1.200,00 €
International Travel / Tunisia								
Flights (10 meetings / trip)	23	Person	1	Trip	450,00 €	26.350,00 €		26.350,00 €
Pendiums (8 meetings / night)	17	Person	2	Night	200,00 €	10.350,00 €		10.350,00 €
Pendiums (1 meeting / night)	4	Person	10	Night	200,00 €	8.000,00 €		8.000,00 €
Pendiums (1 meeting / night)	2	Person	3	Night	200,00 €	1.200,00 €		1.200,00 €
4. Training costs								
Baseline validation workshop	1	Workshop/Meeting	5	Municipality	600,00 €	3.000,00 €		3.000,00 €
SECAP report validation workshop	1	Workshop/Meeting	5	Municipality	360,00 €	1.800,00 €		1.800,00 €
WEF Nexus training	1	Workshop/Meeting	3	Country	1.500,00 €	4.500,00 €		4.500,00 €
Policy dialogue and knowledge transfer workshop	1	Workshop/Meeting	3	Country	1.500,00 €	4.500,00 €		4.500,00 €
Advanced WEF Nexus training at national level	1	Workshop/Meeting	5	Municipality	1.000,00 €	5.000,00 €		5.000,00 €
Affiliated capacity development event	1	Workshop/Meeting	3	Country	1.500,00 €	4.500,00 €		4.500,00 €
Subtotal - direct costs						189.503,10 €		189.503,10 €
5. Supporting cost / Administration costs								
Administration costs	5%	unit	1	unit	189.503,10 €	9.475,16 €		9.475,16 €
Subtotal - Funding by GIZ (up to - against evidence)*						198.978,26 €		198.978,26 €
<i>(direct costs + Administration Costs + Forwarding of Funds)</i>								
6. Own funds / third party financing								
RSS In-kind Contribution	1	unit	6,29%	financing	13.353,53 €	13.353,53 €		13.353,53 €
Grand Total						198.978,26 €	13.353,53 €	212.331,79 €

* All budget lines (with the exception of the administration costs) will be settled against evidence.

Information: In case of purchasing of goods and services from GIZ funds, the relevant 'procurement article' within the Agreement shall apply!

U.R.1 R.A



MINARET II “Empowering Municipal Governance For Climate Resilience Using the WEF Nexus approach”

Context and Overall Objective:

Local governance structures are a key element to reach the SDGs (namely SDGs SDG 6 – Clean Water and Sanitation, SDG 7 – Affordable and Clean Energy, and SDG 11 – Sustainable Cities and Communities), which among other targets, aims at promoting commitment to good governance, development, and poverty reduction through partnerships and collaboration. Decentralized governance is an important tool to integrate population’s needs, most notably those of marginalized groups, in an effective and efficient way.

The list of sectors addressed by decentralized cooperation projects can be endless. However, some of them are more strategic and valuable to citizens than others. These include environmental concerns and sustainability including water management, energy, agriculture, and socio- economic development. Natural resources- and ecosystem management requires close coordination with end-users. Local governance structures are better positioned than centralized ones to engage in dialogue with consumers of ecosystem services and natural resources.

Access to natural resources and healthy ecosystems is essential for human wellbeing, dignity and sustaining livelihoods. Sustainable natural resource management is, however, highly complex and today’s water, food, and energy availability and accessibility challenges are multifaceted. Climate change, the exploitation and degradation of scarce natural resources and the environment as well as population growth and socio-economic dynamics are putting at risk the ability to provide water, energy and food security for all, while staying within the ecological and planetary boundaries. The Water, Energy, Food security and Ecosystem Nexus approach represents a paradigm shift from sectoral development interventions towards an integrated resource-use approach, acknowledging the intricate interlinkages that arise in the usage of the resources. The Nexus approach seeks to identify possibly negative trade-offs and identify measures to maximize synergies where possible.

Early work in the first phase of the project has focused on applying a set of context-specific critical interlinkages between water, food and energy used as inputs into systems for providing essential services to habitants. These natural resources were deeply intertwined and interconnected to come out with NEXUS model that was locally and regionally applied in municipalities (Jordan, Lebanon and Tunisia) for the purpose of obtaining a value and determining the future needs. In addition, MINARET succeeded to understand the developmental and consumer role of municipalities, their core mandate, opportunities, as well as challenges in order to catalyse municipalities to apply NEXUS thinking to increase resource efficiency and improve the livelihood and resilience of citizens within municipalities.

The results of the assessments conducted during the implementation of phase I showed that municipalities face several challenges due to the increased stress on natural resources and infrastructure in the area, and the limited availability of resources. Noting, for example, that energy and water grids are old and require repair and upgrading.

The challenges faced on a national level in all three countries trickle down to the municipal level. All municipalities surveyed experience a remarkable population growth within the same



range in all three surveyed countries. The tradition top-down decision making and delivery mechanism without the involvement of relevant stakeholders when determining how to secure funds and opportunities. The next step is to develop a model that combines the existing asset base and associated revenue streams with the capital and operating requirements going forward, and to apply the principles in determining how these could be financed.

RSS commits to continue its work to strengthen municipal governance structures and capacities through the MINARET II initiative “Empowering Municipal Self-Governance towards Climate Resilience”. In MINARET II, RSS will work in Tunisia, Egypt and Jordan and will spread the approach to 1-2 new municipalities per selected country. As in MINARET I, the main beneficiary will be municipalities, in particular their departments related to water, energy and food security as well as combating the effects of climate change. In MINARET II, RSS will also collaborate with a business development organization (either internal at RSS or external) in order to promote WEF Nexus solutions among private sector actors in the territorial mandate of the respective municipalities. On top of this, MINARET II seeks a strong dialogue with financiers and aims at strengthening municipal capacities in the field of investment planning in order to secure sufficient funding for identified actions.

MINARET II aims to improve people’s lives by helping municipal governments to secure the funds and develop the skills they need to deliver high-quality public services, in particular with regard to natural resources management. The project intends to build the involved municipalities’ resilience to climate change by adopting renewable energy resources, energy efficiency practices, water management techniques, and food security tools. In addition, through promoting policy dialogue, implementing capacity-building programs as well as providing inter-municipal regional cooperation to enhance good governance, the project in its second phase attempts to strengthen the institutional capacities of relevant governmental authorities involved in the project. Using a participatory approach, project stakeholders and partners developed the NEXUS Model, for use in building several demonstration projects, which were designed to respond to the needs of the municipalities and to ensure an improved sustainable livelihood status.

MINARET II with its innovative tools continues to improving living conditions for people affected by environment and climate change through environmental improvement, reduced climate impact and increased resilience to environmental impacts, climate change and natural disasters. It offers a framework of a coherent and inclusive regional strategy to support local authorities, municipalities, the community-based organizations and civil society in promoting renewable energy and energy efficiency, clean water accessibility and food security by applying the “NEXUS” approach. NEXUS schematically achieves a sustainable livelihood where a household achieves “well-being”; this means:

1. **Survival:** households have secure access to basic services (e.g., food, water, and energy) to sustain their primary needs.
2. **Well-being:** The next step for households to secure access to additional important (e.g., job access) that improve their lives and make them less vulnerable to sudden shocks (e.g., economic, climate change).



Approach:

1.) Component One:

Climate resilient municipalities: Membership in Covenant of Mayors – Strengthening municipal capacities to plan and manage climate change adaptation and mitigation measures (development of SECAP)

Objective:

Municipal Action Plans aimed at climate resilience and integrated natural resources management (via cross-sectoral working groups) are developed.

Activities/Milestones:

Activities	Milestones
Identify municipalities	5 municipalities identified (1 in Egypt, 2 in Tunisia, 2 in Jordan)
Hold kick-off meetings with relevant stakeholders to discuss way forward (logistics, communication etc) with concerned staff at municipalities	
Identify, collect data and develop baseline for energy, water, food/agriculture, transportation & GHG emissions at the national and municipal level;	
Validate and update the baseline study through meeting / workshop with each municipality in each country and finalize final baseline report	In total, 5 baseline studies are developed per municipality
Conduct WEF Nexus training (how to integrate cross-sectoral planning into Action Plan development and other relevant processes & identification of NIA tools that can be introduced)	
Develop SECAP technical plan through a cross-sectoral working group and using the spatial planning/landscape approach	In total, 5 mandate descriptions of the cross-sector working
	groups have been elaborated (or minutes of meeting are elaborated for the convening of these cross-sector working groups)
Conduct consultation workshops in each municipality in JO, TU, and EG to validate and update the final SECAP Report	In total 5 SECAP plans have been developed through cross-sectoral working groups and using the landscape/spatial-planning approach
	*their financial viability is also secured through targeted advisory support provided through Component 3
Covenant of Mayors (COM) registration process	CoM registration is completed for all municipalities



Set up SECAP implementation plan with municipalities	In total, 5 SECAP implementation plans are developed
Monitoring and evaluation plan for SECAP implementation progress	In total, 5 M&E plans are developed

2.) Component Two: Horizontal and vertical knowledge management and outreachwork

Objective:

Results and lessons-learned from cross-sectoral planning and project development in the context of climate change are disseminated to the national and regional level

Activities/Milestones:

Activities	Milestones
Support multi-stakeholder policy dialogue and knowledge transfer through networking events between municipalities in a country, between municipalities and associated ministries at national level as well as at regional events through the League of Arab States	2 regional meetings targeted at inter-municipal and regional knowledge sharing have taken place with the aim to maximize municipal capacities to plan, implement, monitor and scale project activities and effectively implement a scalable & replicable NEXUS model and with the aim to disseminate the approach to the national and regional level Existing WEF Nexus training material will be adapted to the needs of municipalities and made widely available for the replication of the approach by other municipalities
Develop municipal-led Communication Action Plans (5-municipalities)	Action plans developed with at least 70% of relevant decisionmakers



<p>Conduct WEF Nexus training at national level (as part of the policydialogue) (1 advanced training/each municipality)</p>	<p>Enhanced capacities of Project municipalities & key stakeholders specifically with regards to the ability to plan, implement, monitor and scale Project activities and effectively implement a scalable & replicable NEXUS model.</p> <p>80% of participants confirm relevance of the training. The training is integrated into an ongoing process to identify synergies between WEF Nexus sectors.</p>
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3.) Component Three: Finance readiness

Objective:

Funding is secured for integrated projects targeted at climate resilience facilitated through an increased dialogue between financiers and public and private project developers, capacity development and targeted advisory support

Activities/Milestones:

Activities	Milestones
<p>Conduct a capacity needs assessment of municipalities with regards to investment planning and project development</p> <p>Conduct the affiliated capacity development event</p>	<p>A capacity needs assessment is available for the beneficiary group including a proposed TA programme*</p> <p>A total of 3 capacity development events will be carried out*</p>
<p>Provide targeted advisory support to the beneficiary group (municipalities) for the development of the SECAP plan (providing advise and technical assistance on the financial feasibility of the projects; identification of adequate business models; identification of measures to create an enabling environment for public sector engagement) and support the beneficiary group to develop at least 1 project proposal for a WEF Nexus project (deriving from an action in the SECAP) per municipality to present to a financier</p>	<p>A total of 5 financially viable SECAP plans are developed</p> <p>A total of 5 WEF Nexus project proposals (having undergone a pre-feasibility assessment) are developed and presented to a financier</p>



<p>Facilitate dialogue sessions between investors/financiers (local and international) and beneficiary group (as well as other necessary stakeholders from the private and public sector, civil society organization etc) and propose policy actions to accelerate funding/financing of (integrated) projects to strengthen the climate resilience of WEF Nexus projects at a decentralized level</p>	<p>At least 3 access to finance dialogue events have taken place (1 in each target country); including financiers and relevant stakeholders from public and private sector as well as CSOs</p>
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*These two milestones will be carried out in close cooperation with the mission 'Accelerating investment for integrated natural resources management' carried out by RES4Africa. In general, RSS commits to close cooperation with RES4Africa for the implementation of component 3. This cooperation will include amongst others integrating RES4Africa input (i.e. presentations, trainings) in workshops and dialogue sessions organized by RSS in the context of MINARET II. RSS will also leverage financing expertise from relevant RSS units where it deems necessary.

Monitoring and Evaluation:

Activities will be systematically monitored and periodically assessed, especially with regard to its relevance to the needs, effectiveness of implementation of objectives, and efficiency of activities.

Capacity development of local governance structures is a key element of this mission and will therefore be taken into account in the overall M&E system (including assessing capacities before the action, during and after completion of the action in order to have before and after data for comparison and determination of success).

Cross-cutting Intervention:

Gender: MINARET II is committed to reflecting the principles of the Sustainable Development Goal on Gender Equality and Women's Empowerment and to assisting the municipality to realize the goal, of increasing women's economic participation as a key element of the country's sustainable development. Therefore, the second phase will give preference proposals that demonstrate the potential to advance women's economic inclusion, participation and empowerment. All investment proposals will be evaluated and scored with respect to their impact on the following aspects of women's inclusion, participation and empowerment:

a) The extent to which the activity proposed will increase women's access to or control over productive economic resources. b) The extent to which the women can access or benefit from social services that facilitate their economic participation and empowerment. Social services are defined as those provided specifically to empower women and girls.

Social Inclusion: MINARET II through adopting the bottom-up approach will be giving local stakeholders within each municipality a voice in determining the details of the planning and implementation of their development projects, developing increased awareness of the benefit of sustainable development, encouraging changed behaviours in sustainable practices, and establishing Our approach will also assist and support authorities, the private sector and civil society in reducing poverty, improving living conditions and empowering local group, women, youth, and other marginalized groups. It will build capabilities, resiliency, and economic, environmental, social and cultural sustainability around renewable energy, water management and food security for inhabitants.



Cooperation:

With regard to **Component 1**, MINARET II will build on experiences gathered in MINARET I as well as support through a close collaboration with related initiatives by third party organizations such as IUCN MENA (for the implementation of the spatial-planning/landscape approach), the Nexus Regional Dialogues Programme (NRDP) MENA (for WEF Nexus training) and the Clima-MED Programme (for monitoring and evaluation work).

With regard to **Component 2**, MINARET II will leverage on events organized by third party organizations such as NRDP MENA as well as the League of Arab States.

With regard to **Component 3**, MINARET II will rely on the work carried out by RES4Africa in the context of its mission 'Accelerating investments for integrated natural resources management projects' aimed at strengthening municipal capacities in investment planning and identifying adequate financing mechanisms for integrated natural resources management actions. On top of this, RSS will leverage inhouse expertise in the field of 'financial readiness in order to mobilize and utilize all necessary technical assistance needed to carry out the mission successfully.

Risk and risk-mitigation measures

The risk of COVID-19 persists and could lead to the delay of activities. The selection of municipalities will be done in a way to consider municipal capacities (i.e. safety measures in place) to manage the pandemic and all activities can be executed in a virtual manner. The overall activity plan is subject and prepared to accommodate changes related to COVID-19 restrictions in the respective target countries.

The mission relies on the support of third-party actors. RSS will ensure for a strong coordination of activities from the start of the mission in order to ensure for the timely and aligned implementation of activities.

**Annex VI to the Contribution Agreement
CRIS No. ENV/2020/416-758**

Communication & Visibility Plan

NEXUS Regional Dialogues Programme

Phase II

**(Regional Dialogues in the MENA-Region, Latin America
and the Caribbean, the Niger Basin and Operation of the
Global Nexus Secretariat)**

May 2020

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List of Abbreviations

AA	German Federal Foreign Office
BMZ	German Federal Ministry for Economic Cooperation and Development
EU	European Union
CA	Central Asia
CAREC	Regional Environmental Centre for Central Asia
CEPAL	Economic Commission for Latin America and the Caribbean
DG DEVCO	Directorate-General for International Cooperation and Development
ESC	Executive Steering Committee
FFPA	Financial Framework Partnership Agreement
GIZ	Deutsche Gesellschaft für Internationale Zusammenarbeit GmbH
GNS	Global Nexus Secretariat
GWP-SA	Global Water Partnership - Southern Africa
IFAS	International Fund for Saving the Aral Sea
IUCN	International Union for Conservation of Nature
LAC	Latin America and the Caribbean
LAS	League of Arab States
LNOB	Leave no-one behind
MENA	Middle East and Northern Africa
WEF NEXUS	Water-Energy-Food security NEXUS
NRD	Nexus Regional Dialogues
NRP	Nexus Resource Platform
NBA	Niger Basin Authority
SADC	Southern African Development Community
SA	Southern Africa
SDG	Sustainable Development Goal

1 Introduction

The Nexus Regional Dialogues Programme Phase I (2016-2019) resulted from a joint effort between the European Commission's Directorate-General for International Cooperation and Development (DG DEVCO) and the German Federal Ministry for Economic Cooperation and Development (BMZ). This Multi-donor Action is co-financed jointly by the European Union and the German Federal Ministry for Economic Cooperation and Development to support (**Overall Objective of the Action**) the **institutionalisation of the WEF NEXUS approach in national and regional governance structures and investment decisions for water, energy and food security**. The German Federal Ministry for Economic Cooperation and Development has commissioned GIZ with the implementation of the Action as part of the "*Nexus Regional Dialogues Programme, Phase II*".

In Phase I of the project, the five Nexus Regional Dialogues (NRD) in the Middle East and Northern Africa (MENA), Latin America and the Caribbean (LAC), Niger Basin, Central Asia (CA), and Southern Africa (SA) in cooperation with the Global Nexus Secretariat (GNS) developed Action Plans and policy recommendations endorsed by high-level policy makers at regional or country level. In addition, the NRD regions supported the regional stakeholders by conducting capacity building workshops, developed in collaboration with the GNS, and by organising WEF NEXUS dialogue events and forums. The GNS furthermore established, promoted and stocked the Nexus Resource Platform (NRP, see www.water-energy-food.org) with global WEF NEXUS-related resources and strengthened the WEF NEXUS network among the regions.

Building on the results of Phase I, the WEF NEXUS Dialogues Programme Phase II has been designed to support the institutionalisation of the WEF NEXUS approach in national and regional governance structures and investment decisions for water, energy and food security, and to leverage the awareness and engagement of public and private investors for WEF NEXUS projects with the help of demonstration projects and the preparation of project proposals for Nexus investment projects. Furthermore, the institutionalisation of the WEF NEXUS in investment decisions will be pursued with the existing Actions Plans and related strategic activities such as capacity building. Within this context the GNS will further develop and facilitate relevant capacity building activities, as well as provide advice for approaching investment opportunities and raise awareness among public and private investors at the global level. In this line of work, the NRP will keep promoting the global knowledge exchange allowing inter-regional learning processes, thus positioning the WEF NEXUS globally.

Phase II therewith contributes not only to sustainable development, but also to the EU's overall developmental and global commitments, namely the Agenda 2030 with its Sustainable Development Goals (SDGs). Thus, the WEF NEXUS contributes to achieve universal access to food, drinking water and modern energy as called for in the Agenda 2030, especially contributing to SDG 6 (Clean Water and Sanitation), SDG 7 (Affordable and Clean Energy) and SDG 2 (Zero Hunger). In that sense, it makes also contributions to further SDGs, e.g. SDG 5 (Gender equality), SDG 13 (Climate Action), SDG 16 (Peace and Stability) and SDG 17 (Partnerships for the Goals).

The GIZ is in charge of implementing activities in three regions: LAC in cooperation with the Economic Commission for Latin America and the Caribbean (CEPAL), in MENA with the League of Arab States (LAS) and in the Niger Basin with the Niger Basin Authority (NBA).

Furthermore, the GIZ operates the GNS which is in charge of the coordination of all five implementing regions, knowledge management and the provision of capacity development activities, as well as tools and methods relating to WEF Nexus implementation. The implementation, however, of the Regional Dialogues in Southern Africa (SA) and Central Asia (CA) is carried out by the Global Water Partnership-Southern Africa (GWP-SA), in collaboration with the Southern African Development Community (SADC) and the Regional Environmental Centre for Central Asia (CAREC) in partnership with the International Fund for Saving the Aral Sea (IFAS). Both of them have a separate contracts with DG DEVCO.

The objectives (outcomes) of the Action are:

Overall Objective: Institutionalisation of the WEF NEXUS approach in national and regional governance structures and investment decisions for water, energy and food security.

Specific Objective (SO 1): Outcome 1 Increased application of the WEF NEXUS approach in planning, policy making and implementation in countries belonging to the five target regions.

Specific Objective (SO 2): Outcome 2 Increased interest from public and private investors (e.g. financial institutions, private sector, multilateral and bilateral organisations, national treasuries) for projects following the WEF NEXUS approach

The Action has the following outputs:

Output 1.1: Increased opportunities for regional policy and investment dialogue on the WEF NEXUS approach for natural resources efficiency across the water, energy and agriculture sectors

Output 1.2: Strengthened national and regional stakeholders' capacities for planning, policy making and implementation following the WEF NEXUS approach

Output 1.3: Increased government and bi/multilateral officials and investors' awareness of the WEF NEXUS approach and value added.

Output 2.1: Project proposals better reflecting and demonstrating the value added of the WEF NEXUS approach

Areas of implementation

1. Middle East and Northern Africa Region (MENA)

The MENA region is water scarce, food deficient, energy intensive and highly vulnerable to the impacts of climate change. Responding to MENA's challenges requires an understanding of the synergies, conflicts and compensations that connect water, energy and food with ecosystems, as well as the introduction of holistic approaches to secure them, protect the environment and ensure social stability and reduce social inequality.

2. Latin America and the Caribbean (LAC)

Regional WEF NEXUS particularities in LAC include the high dependence on oil and gas, which generate around 46% of the region's primary energy supply.¹ Overall, freshwater is abundant in Latin America, over 41% of the global freshwater resources are located there. However, inequality in water accessibility and also availability remains a problem.² Latin

¹ International Renewable Energy Agency (2016). Renewable Energy Market Analysis. Latin America.

² The World Bank (2012). Latin America: Bridging the gap in the water access. Accessed on 13.03.2020 via <https://www.worldbank.org/en/news/feature/2012/08/30/agua-saneamiento-america-latina>.

America plays a key role in meeting the growing international food demand. 70% of the total regional water consumption is needed for agricultural purposes which is at the same time a main driver of deforestation further threatening the region's water security.³ Overall, the rising demand for resources increases the need to overcome the silos approach in the management of water, energy and food.

3. Niger Basin

The region is home to over 130 million people who strongly rely on its water, soil, and forests for drinking water, food security and energy production.⁴ Over 70% of the population live in areas where food security depends on unreliable and changing rainfall and climate patterns and only one in three inhabitants has access to electricity.⁵ In the Niger Basin, agriculture uses 85% of freshwater withdrawals.⁶ Of the nine basin countries – Benin, Burkina Faso, Cameroon, Chad, Ivory Coast, Guinea, Mali, Niger, and Nigeria – six are classified as Least Developed Countries (LDCs). The WEF NEXUS approach can provide an appropriate framework to design policies and to guide investment decisions to holistically attain the key development objectives for the region by seeking efficiency of resource use and regional cooperation.

**This Communication & Visibility Plan indicatively describes the Action's communication and visibility measures.*

2 Framework

Communication and visibility measures will adhere to Article 8 of Annex II and the Communication and Visibility Requirements for EU External Actions published by the European Commission. GIZ, is subject to particular visibility obligations towards the German Government as foreseen in Article 10.6 of the Financial Framework Partnership Agreement (FFPA) signed by the European Commission and GIZ on 20 May 2019.

This Plan is making reasonable efforts to reconcile the visibility requirements of all donors to the Action. In line with Chapter 5.5 of the Requirements, the FFPA and this agreement take precedence if they contradict or otherwise deviate from the Requirements.

This Plan is indicative and may be revised during the Action's implementation period. It can be amended in writing without the need for a contract addendum.

3 Objectives

³ Food and Agriculture Organisation of the United Nations (2020). Soil and water conservation in Latin America and the Caribbean. Accessed on 13.03.2020 via <http://www.fao.org/americanas/prioridades/suelo-agua/en/>.

⁴ The World Bank (n.a.). The Promise of a Better Future for the People of the Niger Basin. Accessed on 13.03.2020 via <https://olc.worldbank.org/print/content/promise-better-future-people-niger-basin>.

⁵ European Commission, 2016. Exploratory Mission Report - Towards a Niger Basin Nexus Dialogues Project, B&S Consortium and AGRER. First draft

⁶ Namara, R.E., Barry, B., Owusu, E., and Ogilvie, A., 2011. An overview of the development challenges and constraints of the Niger basin and possible intervention strategies. IWMI Working paper 144, Colombo, Sri Lanka

3.1 Communication Objectives

Communication will occur throughout the project cycle. Communication and visibility activities will be properly sequenced to achieve maximum impact. They will inform target audiences about the reasons for the Action, the support provided by the EU and the German Federal Ministry for Economic Cooperation and Development and the outcomes and impact of that support.

Communication measures will emphasise the tangible results and impact of the Action rather than administrative milestones or financial inputs. They will ensure wide and timely information and publicity and seek to maximise synergies with the relevant general EU communication strategy.

The development of a Programme Communication Strategy that will facilitate to successfully showcase the WEF NEXUS approach and related project results and that will elaborate corresponding communication products and activities to convey the results of the project is planned. Within this context, the internet based NEXUS Resource Platform (NRP) and related social media (Twitter and Facebook) channels will be further used and enhanced. The communication activities have a transversal character and are an integral part of all envisaged activities. Specific communication objectives will be developed early in the implementation period.

Overall Communication and Visibility Objectives are:

- Access to up-to-date information on WEF NEXUS topics, advancements, activities and research globally via the Nexus Resource Platform (www.water-energy-food.org) and other means of communication (social media) to mainstream the WEF Nexus approach and to stimulate the widespread adoption of the approach into policy making, planning and implementation of projects at all levels (from local to global)
- Showcase WEF Nexus results and impact of the Nexus Regional Dialogue Programme to raise public awareness on the importance, benefits and effectiveness of the WEF Nexus approach within the regions, partner organizations, donors, governments, other international and national organizations, development banks, research institutions, the private sector and among civil society.

3.2 Target Groups and Key Messages

GIZ is responsible for the communication activities on a global level as well as in its implementation regions: MENA, LAC and the Niger Basin.

Main target groups are government representatives from national and regional organisations with a mandate on natural resources management: water, energy and agricultural sector. These stakeholders act as brokers for anchoring WEF NEXUS thinking on national and regional policy and investment decision-making. Furthermore, public and private investors will be targeted as they play a vital role for facilitating investment opportunities for WEF NEXUS projects. Increasing government and bi/multilateral officials' and investors' awareness of the WEF NEXUS approach and value-added lies at the heart of the Programme's Communication Strategy to be implemented by the Global Nexus Secretariat.

Also, academia and the civil society will be reached as they can multiply and take advantage of the information provided by the Action. Equally, EU Delegations and German Embassies in

the regions of implementation will be reached. Finally, the European Commission, EU member states and taxpayers, interested audience (scientists/experts) in the field of WEF Nexus belong to the target group.

Target Group	Key Message(s)
National governments, regional organisations and bi/multilateral officials and investors participating in policy and investment dialogues	<ul style="list-style-type: none"> • Inform policy makers and investors in the target regions about the opportunities that generate a WEF Nexus multi-sectoral approach for more impactful policies and investments • Build ownership of the WEF Nexus approach by mobilizing participation to stimulate regional and cross-regional learning
Academia and the civil society can multiply information provided by the Action, such as e.g. the Royal Research Society (Jordan, MENA) or the National Coordination of Natural Resource Users in the Niger Basin (CNU-BN/ Niger)	<ul style="list-style-type: none"> • Raise awareness among relevant stakeholders involved in natural resources management about the opportunities that stem from the WEF Nexus multi-sectoral approach • Build ownership of the WEF Nexus approach
International Context, Partners and Donors	
EU Delegations in the regions of the Action (MENA, LAC and Niger Basin)	<ul style="list-style-type: none"> • Inform the EU Delegation about the activities and project results carried out in the relevant target region • Raise awareness with EU Delegations about the benefits of the WEF Nexus approach
German Embassy in the countries of the regions of the Action (MENA, LAC and Niger Basin)	<ul style="list-style-type: none"> • Inform the German Embassy about the activities and project results carried out in the relevant target region • Raise awareness with the German Embassy about the benefits of the WEF Nexus approach
In the European Union	
The European Commission, EU member states and taxpayers, interested audience (scientists/experts) in the field of WEF Nexus	<ul style="list-style-type: none"> • Raise awareness in the EU and among the EU member states about the benefits of WEF Nexus approach in the target regions • Inform an interested audience about the EU's engagement in the WEF Nexus in the target regions and the outcomes and results of the Action

GIZ will review the appropriateness and suitability of the target groups and key messages throughout the implementation period and propose revisions as necessary.

3.3 Tools, Channels, Main Activities and Schedule

- To achieve the communication objectives and reach the target groups, the following tools and channels as well as main activities may be used. The overview is indicative and may change during the implementation of the Action, including to capitalise on opportunities that may arise. It is important to mention that the EU financial support will be mentioned in all communication activities of the Action.

Tools and Channels	Main Activities	Indicative Schedule
Online communication	<ul style="list-style-type: none"> Nexus Resource Platform and websites of the partners Social media (Twitter and Facebook) Email Newsletter Webinars conducted by the regions 	<ul style="list-style-type: none"> Throughout the implementation period Throughout the implementation period Quarterly (first: 09/ 2020) Throughout the implementation period
Printed materials	<ul style="list-style-type: none"> Displays with key deliverables, management structure and donors' logos Development of Factsheets, Flyers and Roll-Ups and Power Point presentations 	<ul style="list-style-type: none"> At the start of the implementation period (07/ 2020) In the first half year of the implementation period (from 07/ 2020)
Campaigns, exchange events and stakeholder meetings	<ul style="list-style-type: none"> Executive Committee meeting once a year with donors, partners and other relevant stakeholders for an inter-regional exchange Inter-regional exchange events to foster mutual learning and knowledge exchange Participation in relevant international and national conferences and events such as e.g. the Dresden Nexus Conference, Stockholm World Water Week, the World Water Forum and the 2020 WEFE Nexus Science Advances Conference (other conferences will be identified with the relevant stakeholders) 	<ul style="list-style-type: none"> Within 1 year of implementation start (from 07/ 2020) In the second year (from 07/2021) and third year (from 07/2022) of implementation Throughout the implementation period (Stockholm World Water Week in August 2021, 2022; World Water Forum 03/2021; 2020 WEFE Nexus Science Advances Conference 09/ 2020)



	<ul style="list-style-type: none"> • Inter-sectoral policy dialogue events on a global and regional level • Organisation of inter-sectoral investment dialogue events 	<ul style="list-style-type: none"> • Throughout the implementation period and in coordination with partner org. (starting from 07/2020) • Throughout the implementation period and in coordination with partner org. (starting from 07/2020)
Press and media	<ul style="list-style-type: none"> • Responses to ad-hoc media requests (if appropriate) on a global level and in the regions 	<ul style="list-style-type: none"> • Throughout the implementation period
Communication products	<ul style="list-style-type: none"> • Development of communication products such as "Nexus Videos".(e.g. potential inclusion of testimonials of the Action's beneficiaries in the regions) 	<ul style="list-style-type: none"> • Throughout the implementation period (starting from 07/ 2021)

Communication and visibility measures will be in English, French, Spanish and/or German depending on the context.



3.4 Monitoring and Evaluation

The monitoring system described in Annex I will include the performance and results of communication and visibility measures. Communication and visibility measures will be designed to contribute to the Action's communication objectives, reach the target groups, are carried out in a timely and efficient manner, and reflect the allocated resources. The data will be used for steering, progress reporting, and evaluating communication and visibility measures.

In line with Annex I, these indicators and corresponding activities for communication and visibility will particularly guide the implementation of this Plan:

From Output 1.1.: Increased opportunities for regional policy and investment dialogue on the WEF NEXUS approach for natural resources efficiency across the water, energy and agriculture sectors

1.1.1 Organisation of inter-sectoral policy dialogue events

1.1.2 Organisation of inter-sectoral investment dialogue events showcasing WEF NEXUS projects

1.1.4. Participation in international conferences throughout Phase II for showcasing the WEF NEXUS approach: Demonstration of opportunities for resource-efficient investment decision and policy making through WEF NEXUS

1.1.5 Concept development and implementation of events for inter-regional learning exchange with the goal of sharing best practices and lessons learnt

and Output 1.3.: Increased government and bi/multilateral officials and investors' awareness of the WEF NEXUS approach and value added.

1.3.1 Increased Global Knowledge Management through the Nexus Resource Platform (inclusion of multi-lingual navigation and interactive features to engage with visitors)

1.3.2 Elaboration of a Global Programme Communication Strategy including social media to strengthen the project's visibility (Global)

1.3.3 Development of communication products such as videos, newsletters, interviews with relevant experts and practitioners, and blog posts on activities by the regions. These products will be featured on the Nexus Resource Platform (Global, LAC, MENA, Niger basin)

1.3.4 Participation in global and regional events (e.g. Events with the Network of Public Investment Systems in LAC, Dresden Nexus Conference, Stockholm World Water Week etc.)

1.3.5. Convening of events for showcasing the WEF NEXUS value-added in projects

1.3.6 Implementation and documentation of demonstration projects and showcasing results at events and on the Nexus Resource Platform (LAC, MENA, Niger basin)

1.3.7 Systematisation of lessons learned

Additional suitable indicators by objective and/or target group as well as appropriate means of verification may be defined.

During implementation, suitable quantitative and qualitative methods such as gender-disaggregated participation documentation, analytics, surveys, focus groups and stakeholder consultations may be used.

4 Design and Disclaimers

4.1 Design Principles

In the interest of efficiency and cost-effectiveness, the Action will use existing GIZ templates as much as possible and incorporate the EU requirements.

All materials will consider the visibility interests of the European Union and the German Federal Ministry for Economic Cooperation and Development. The EU emblem and the German Cooperation logo will be given equal prominence. For all products/publications/communication materials of the project, the following logos are placed in the header (in the required official language). The EU emblem will usually be placed in the top-left, immediately below or beside the EU logo, the EU's financial contribution will be acknowledged with the words "Co-funded by the European Union". The German Cooperation logo is located in the top-right corner.

The logo of the partner organisations CEPAL, LAS and NBA will be displayed after the EU logo. It will be not larger than the EU logo.

Logos will generally be displayed in the following order:



The implementing agency GIZ is represented at the bottom (footer) of the publication / banner / etc. from right to left (size of implementing agencies' logos is 80% of the size of the writing of the German cooperation logo)

Vehicles purchased and/or major supplies or equipment delivered under the Action will bear the EU emblem and the German Cooperation logo as well as the words "Provided with the financial support of the European Union and the German Federal Ministry for Economic Cooperation and Development."

In line with the Communication and Visibility Requirements, GIZ will not display the EU emblem on business cards, in email signatures or official stationery, or on its website. Where the title of the Action is mentioned (e.g. letterheads, business cards and email signatures), it will be accompanied by the words "This project is co-funded by the European Union" or, if required by the context, their German equivalent.

4.2 Disclaimers

GIZ will be responsible for the contents of communication materials prepared under the Action. All communication materials will include a standard disclaimer.

For publications in print or electronic format:

This publication was produced with the financial support of the European Union and the German Federal Ministry for Economic Cooperation and Development. Its contents are the sole responsibility of GIZ and do not necessarily reflect the views of the EU or the Federal Ministry for Economic Cooperation and Development.

For dedicated websites and social media accounts of the Action:

This <website/account> was created and maintained with the financial support of the European Union and the German Federal Ministry for Economic Cooperation and Development. Its contents are the sole responsibility of GIZ and do not necessarily reflect the views of the EU or the Federal Ministry for Economic Cooperation and Development.

For videos and other audio-visual materials:

This <video/film/programme/recording> was produced with the financial support of the European Union and the German Federal Ministry for Economic Cooperation and Development. Its contents are the sole responsibility of GIZ and do not necessarily reflect the views of the EU or the Federal Ministry for Economic Cooperation and Development.

5 Standard Procedures

5.1 Reporting

Information on the implementation of the Communication and Visibility Plan and any additional measures taken to identify the EU as source of financing will be part of the contractually agreed reporting.

6 Resource Allocation

The entire project team will be involved in communication and visibility activities under the Action.

Annex III indicates the financial resources for communication and visibility measures.